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## THESIS

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#### Abstract

The general shape of a shell structure can have a great impact on its structural performance. This thesis presents a numerical implementation that finds the funicular form of grid shell structures. Two methods are implemented for the form finding: the potential energy method (PEM) and the force density method (FDM). The PEM, inspired by the 3D hanging chain model, find the funicular form by minimization of the total potential energy. On the other hand, the FDM find the funicular form by solving a linear system, in which a geometric stiffness matrix is constructed with the force density and nodal connectivity information. The form finding process is nonlinear, because the nodal loads are calculated with the rationale of tributary area and evolve with the structural form. Apart from the form finding, a member sizing procedure is implemented for a preliminary estimation of the member cross sectional area. In the member sizing, the stress-ratio method is used to achieve a fully-stressed design. Finally, three numerical examples are examined to demonstrate the effectiveness of the current implementation.


To my family and friends

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## Nomenclature

| $A_{i}{ }^{(k)}$ | Cross sectional area of the $i$-th grid member in the $k$-th iteration |
| :--- | :--- |
| $A_{t, i}$ | Triburary area corresponding to the load type i |
| $c(j), d(j)$ | Number of the two end nodes of grid member $j$ in the FDM <br> formulation |
| $\mathbf{C}$ | Portion of the branch-node matrix belonging to the free nodes |
| $\mathbf{C}_{f}$ | Portion of the branch-node matrix belonging to the fixed nodes |
| $\mathbf{C}_{s}$ | Branch-node matrix |
| $\mathbf{D}$ | The geometric stiffness matrix corresponding to free nodes |
| $\mathbf{D}_{f}$ | The geometric stiffness matrix corresponding to fixed nodes |
| $\varepsilon$ | Prescribed tolerance |
| $E_{i}$ | The product of the Young's modulus and the cross sectional area of |
| $(E A)_{i}$ | the $i$-th grid member |
| $k_{i}$ | Stiffness of the $i$-th grid member |
| $\mathbf{K}$ | Member stiffness matrix. The member stiffness consists of the matrix |


| $N_{f}$ | Number of fixed nodes |
| :--- | :--- |
| $N_{n}$ | Number of nodes |
| $\mathbf{p}$ | Nodal load vector |
| $\mathbf{p}_{x}, \mathbf{p}_{y}, \mathbf{p}_{z}$ | Nodal load vector in $x, y$ and $z$ directions, respectively |
| $P E$ | Total potential energy of the system |
| $q_{d i s t, i}$ | The $i$-th type of distributed load |
| $q_{j}$ | The force density of the $j$-th grid member |
| $\mathbf{q}$ | Force density vector |
| $\mathbf{Q}$ | Diagonal matrix of $\mathbf{q}$ |
| $\mathbf{s}$ | Member internal force vector |
| $\mathbf{S}$ | Diagonal matrix of $\mathbf{s}$ |

## CHAPTER 1

## Introduction

### 1.1 Motivation

A thin shell structure is generally defined as a structure in which the thickness is small compared with the other dimensions. It is a well-recognized form of building structures as illustrated by Fig. 1.1. Despite the fact that most of the buildings are comprised of beams and columns, the thin shell structure has been admired for its special flexibility, aesthetic appearance, light weight and other advantages. The shell structures can be categorized into two groups: continuous shells and grid shells. As the name implies, the continuous shell has a continuous shell surface through which loads are transferred. On the other hand, grid shells are constructed by discrete grid members, usually made of steel or timber. As the first step of the ongoing research on shell design, we focus on the grid shell as it is simpler to deal with.


Fig. 1.1 Shell structure examples. (a) L'Oceanogràfic (El Oceanográfico), City of Arts and Sciences, Valencia, Spain (continuous shell) [31]; (b) Roof for the Multihalle (multi-purpose hall) in Mannheim, Mannheim, Germany (grid shell) [32].

In a conventional design pattern, the global geometry of a grid shell structure is usually determined by the client and the architect, with major considerations on functionality and aesthetics. Moreover, the structural behavior of a shell structure largely relies on the global geometry. Here, we propose a convenient tool for the form finding of shell structures, particularly grid shells in the current study, which address the structural
aspects.

### 1.2 Literature Review

Researchers have worked on the design of grid shell structures in various aspects. For example, methods to find the grid shell form with minimum self-weight were investigated [22, 23]. Some proposed how to find the optimal grid patterns for a given shell surface [9, 29]. In the current study, we are interested in finding a proper form, in which shell bending can be avoided so that the loads will cause pure axial stresses in the structural components. Such a form is usually referred to as a "funicular" form.

In the twentieth century, both architects and engineers, such as Gaudí [2, 11], Otto [19], and Isler [3], applied physical techniques for the form finding. In these techniques, an efficient 3D structural shape can be found given a certain material, a set of boundary conditions and gravity loads. Particularly, the 3D hanging chain model pioneered by Gaudí is a significant inspiration for the current study.

The fundamental rationale of the 3D hanging chain model has long been discussed, as a quotation from Robert Hooke in 1675 says "As hangs a flexible cable, so but inverted will stand the rigid arch." This principle describes the reciprocal relationship of a tension-only and a compression-only form in 2-dimensional (2D) space under the same loading case [5, 26]. When extended to design in the 3-dimensional (3D) space, this rationale supports the use of the 3D hanging chain model for the form finding of a gravity-loaded structure.

Gaudí constructed 3D hanging chain models for use in his designs such as the Church of Colònia Güell and the Sagrada Familia [2]. Based on the architectural constraints and preliminary ideas of the final shape, a scaled hanging system is built with members of cable and cloth hang on the ceiling. The self-weight of the actual structure is
modelled with sand bags attached to the members with scaled weights. As soon as the loads are applied, a tension-only form under equilibrium will instantly emerge. However, since the load is estimated based on the resultant form, the model will be adjusted multiple times before a satisfactory form and the corresponding loads are eventually obtained. The designer will take a picture of the tension-only form and flip it up-side-down. The flipped form is the compression-only form and will be used as a reference for the actual structure. See Fig. 1.2.


Fig. 1.2 The Church of Colònia Güell: (a) a replicated 3D hanging model (down) and a scaled model for the original design by Gaudí [33]; (b) a partial view of the actual Church of Colònia Güell [34].

The 3D hanging chain model were adopted in form finding of grid shells after Gaudí. For example, the design team for the Mannheim Multihalle building in Mannheim, German (1975) have extensively used the 3D hanging chain model [20], as shown in Fig. 1.3.


Fig. 1.3 The 3D hanging chain model of Mannheim Multihalle [10].

Numerical form finding techniques such as the force density method [16, 24] and dynamic relaxation [8] have long been used. These methods were initially designed for the form finding of weightless self-stressed systems, but only a few implementations for the form finding of a funicular system under self-weight or other external loads were developed [1]. Bletzinger et al. (2005) proposed a numerical design method of continuous shell and membranes, merging the techniques of form finding, hanging model and structural optimization [4]. Kilian and Ochsendorf (2005) proposed a form finding tool for funicular structures using a particle-spring system, which was originally applied in computer graphics for cloth simulation [13]. Block and Ochsendorf (2007) used the thrust network analysis was proposed to find the shape of a system under pure compression [6]. Richardson et al. (2013) presented a coupled design method for grid shells, where the first step is to find the funicular form by dynamic relaxation, and the second to determine the optimal nodal coordinates through a generic algorithm optimization [21]. As an example of adopting the numerical form finding tools in real projects, dynamic relaxation was used for form finding in the initial design competition of the Dutch Maritime Museum roof project [1].

Previous researchers, such as Veenendaal and Block, have reviewed form finding methods of general networks in detail [27,28]. Although their work is not devoted
specifically for the form finding of grid shells, it is promising that some of the methods can be modified to serve our interests.

### 1.3 Design Objective and Methods

We adopt the definition of "form finding" as "finding an optimal shape of a form-active structure that is in a state of static equilibrium" from [15]. In the current study, the optimal shape is defined as a funicular form. Thus, the objective of the form finding is stated as "finding the form of a grid shell in equilibrium, in which the structural members suffer no shear or bending moment".

Here, a grid shell structure is modeled with grid members hinged with each other and to the boundary, where loads are applied on the hinged nodes. This simplification allows the use of form finding methods for general network. The design of grid shells follows an optimization procedure. Among the family of form finding methods, the potential energy method (PEM) and the force density method (FDM) are explored and implemented in the current study. The form finding process will provide a funicular form and the corresponding static loading case derived from the form.

The current implementation includes an optional member sizing given the design information from the form finding. The member sizing aims to design for the cross sectional area of each grid member, so that the axial stress of every member equals its admissible stress. The member sizing is based on the PEM and uses the actual properties of the construction material.

A flowchart of the general design process is shown in Fig. 1.4. Note that finding, the designer will choose either the PEM or the FDM for the form finding. Also it is up to the designer's choice whether to conduct the member sizing or not.


Fig. 1.4 Proposed Design Process. The dashed box enclosed the optional member sizing procedure.

### 1.4 Thesis Organization

The remainder of the thesis is organized as follows. The design methodology of the current implementation is illustrated in Chapter 2, which demonstrates the fundamentals of the PEM and FDM as well as the important issues in the implementation. The member sizing with and without "group design" will also be illustrated in the Chapter 2. In Chapter 3, three numerical examples are provided to demonstrate the effectiveness of the design tool. Finally, the current work is summarized together with possible future extension in Chapter 4.

## CHAPTER 2

## Design Methodology

The initial design settings comprise of grid members, hinge nodes and nodal boundary conditions. Shell panels are considered for nodal load calculation, but not as structural members in the design. As loads are applied, the grid shell form will change so that an equilibrium state is achieved. As stated in the introduction, the design goal is to find a grid form in equilibrium under a certain load case, where the members suffer no bending moment and no shear force. The state of zero bending moment and shear force is ensured by the assumptions that:

1. All grid members are hinge-connected;
2. For every grid member, loads are only applied at the end nodes.

Thus the task left is to find the equilibrium form. The current study focuses on two form finding methods, namely the potential energy method (PEM) and the force density method (FDM).

In this chapter, the formulations of PEM and FDM will be presented in the first two sections. Then implementation details will be discussed in aspects of initial domain definition, nodal load calculation and snap-through action triggering. Besides the standard form finding, a separate process of member sizing is implemented and illustrated. This chapter concludes with two flow charts showing procedures of form finding and member sizing. The current implementation is based on MATLAB 7.14 R2012a [31].

### 2.1 Formulation of Potential Energy Method (PEM)

The PEM is inspired by Gaudi's 3D hanging chain model. In this method, grid members in the design domain are assigned with prescribed member stiffness, with which the potential energy can be defined. When loaded, the grid members deform according to
the constitutive relationship until a new equilibrium is achieved. The PEM finds the equilibrium form by minimizing the potential energy of the system. In this sense, it bears some analogy with the elastic formulation for ground structures [30].

The form is obtained by solving the following unconstrained minimization problem:

$$
\begin{equation*}
\min _{\mathbf{u}} P E=\sum_{i=1}^{N_{b}} \frac{1}{2} k_{i}\left(\Delta \ell_{i}\right)^{2}-\mathbf{p}^{\mathrm{T}} \mathbf{u}=\frac{1}{2}(\boldsymbol{\Delta} \boldsymbol{\ell})^{\mathrm{T}} \mathbf{K}(\boldsymbol{\Delta} \boldsymbol{\ell})-\mathbf{p}^{\mathrm{T}} \mathbf{u} \tag{2.1.1}
\end{equation*}
$$

The objective function $P E$ is the potential energy. It is defined by adding the strain energy in the $N_{b}$ number of grid members $\left(\sum_{i=1}^{N_{b}} \frac{1}{2} k_{i}\left(\Delta \ell_{i}\right)^{2}\right.$ or $\frac{1}{2}(\boldsymbol{\Delta} \boldsymbol{\ell})^{\mathrm{T}} \mathbf{K}(\boldsymbol{\Delta} \boldsymbol{\ell})$ ) and subtracting the work done by external loads ( $\mathbf{p}^{\mathrm{T}} \mathbf{u}$ ). The MATLAB built-in function "fminunc" is used to solve the unconstrained minimization problem [31].

The design variable is the nodal displacement vector $\mathbf{u}$ in 3D space. It is derived by

$$
\begin{equation*}
\mathbf{u}=\mathbf{x}-\mathbf{x}_{0} \tag{2.1.2}
\end{equation*}
$$

where $\mathbf{x}$ and $\mathbf{x}_{0}$ are the nodal coordinate vectors in the deformed and initial configuration, respectively.

For the $i$-th member, its Euclidean length $\ell_{i}$ is obtained from the coordinate vectors of its end nodes, $\mathbf{x}_{i, a}$ and $\mathbf{x}_{i, b}$ by:

$$
\begin{equation*}
\ell_{i}=\left\|\mathbf{x}_{i, b}-\mathbf{x}_{i, a}\right\| \tag{2.1.3}
\end{equation*}
$$

Here $\mathbf{x}_{i, a}$ and $\mathbf{x}_{i, b}$ are extracted from the geometric connectivity of each grid member. The sub-indices, $a$ and $b$, denote the two member ends.

The member stiffness matrix is denoted by $\mathbf{K}$, which is a diagonal matrix and will remain constant during the form finding. Its $i$-th diagonal term contains the axial stiffness of the $i$-th grid member, defined as

$$
\begin{equation*}
k_{i}=\frac{(E A)_{i}}{\ell_{i, 0}} \tag{2.1.4}
\end{equation*}
$$

Here $l_{i, 0}$ is the member length in the initial configuration and $(E A)_{i}$ is the prescribed
product of the Young's modulus and cross sectional area of the $i$-th grid member. In one design domain, altering the prescribed value of $(E A)_{i}$ will result in different forms. The 3D nodal external load vector is denoted by $\mathbf{p}$. It is derived by the rationale of tributary area, which transforms the distributed loads on the assumed shell panels into concentrated loads at structural nodes. Detailed derivation of $\mathbf{p}$ will be discussed in Section 2.4. It should be noted that $\mathbf{p}$ updates with the evolving form, and thus the form-finding process is nonlinear.

Due to the nonlinear nature of the problem, it will be solved iteratively. A loop is set up to account for the changes in nodal loads with respect to form change. Within each iteration, a nodal load vector is derived with the form from last iteration. Then the minimization of potential energy is performed to obtain the updated form based on the current nodal loads. The iteration shall continue until convergence. Here the convergence criterion is defined as

$$
\begin{equation*}
\frac{\left\|\mathbf{x}^{(k)}-\mathbf{x}^{(k-1)}\right\|}{N_{d o f}}<\varepsilon \tag{2.1.5}
\end{equation*}
$$

where $\mathbf{x}^{(k)}$ and $\mathbf{x}^{(k-1)}$ are the displacement vectors for all degrees of freedom in the current and previous iteration, $N_{d o f}$ is the number of degree of freedom and $\varepsilon$ is a prescribed tolerance. The norm sign indicates the two-norm. The quantity $\frac{\left\|\mathbf{x}^{(k)}-\mathbf{x}^{(k-1)}\right\|}{N_{d o f}}$ is a measure of average movement of nodes from the previous iteration to the current one.

As the PEM inherits the rationale of the 3D hanging model, the form evolves downward and is dominated by tension. Fig. 2.1 illustrates the process. Flipping it up-side-down will result in the grid shell form.


Fig 2.1 Geometry evolution of a simple grid dome by form finding with PEM. The planar geometry settles into a reversed dome under nodal loads applied in the downward direction. The left and the right column show the 3D view (arrows denote nodal loads) and the front view, respectively. (a) Initial geometry (flat); (b) Final geometry. Note that the magnitude of nodal loads in (b) is greater than those in (a).

### 2.2 Formulation of Force Density Method (FDM)

The FDM was initially adopted to perform form finding for general networks of cables and bars [16, 24]. The name of the method comes after a prescribed design parameter, force density, defined as the ratio of member force over member length. Given a set of force density and connectivity information, we can construct a linear system representing nodal force equilibrium, which links the form with nodal loads. The final form is obtained by solving the resulting linear system.

Unlike the PEM, the FDM does not recognize member stiffness under the conventional constitutive relationship. In this sense, it bears some analogy with the plastic formulation for ground structures [32]. Instead, it correlates member force with member length by force density. Details in the linear system construction is illustrated below, with major reference to [24] and [7].

To begin with, we define a grid system with nodes from 1 to $N_{n}$ and grid members (or branches) from 1 to $N_{b}$. To simplify the calculation process, we define all the fixed nodes ( $N_{f}$ nodes, from $N+1$ to $N+N_{f}$ ) after all the free nodes ( $N$ nodes from 1 to $N$ ). Thus we have $N_{n}=N+N_{f}$. For any grid member $j$, there are 2 corresponding nodes with number $c(j)$ and $d(j)$. With the information above, the branch-node matrix $\mathbf{C}_{s}$ is defined by

$$
\mathbf{C}_{s}(j, i)=\left\{\begin{align*}
+1, & \text { for } c(j)=i  \tag{2.2.1}\\
-1, & \text { for } d(j)=i \\
0, & \text { otherwise }
\end{align*}\right.
$$

The matrix $\mathbf{C}_{s}$ has $N_{b}$ rows and $N_{n}$ columns. Note that the value of $c(j)$ and $d(j)$ for a grid member may interchange with each other. This interchange will affect $\mathbf{C}_{s}$, but will not influence the resultant linear system.
(a)

(b)


Fig. 2.2 (a) A simple grid system. Nodes are denoted by plain numbers. Branches are denoted by circled numbers; (b) The connectivity matrix of the grid system.

The branch-node matrix $\mathbf{C}_{s}$ is separated into $\mathbf{C}$ and $\mathbf{C}_{f}$, with $\mathbf{C}$ representing the portion for free nodes and $\mathbf{C}_{f}$ for the fixed nodes, as illustrated by Fig. 2.2. In a similar $\operatorname{logic}, \mathbf{X}, \mathbf{Y}, \mathbf{Z}$ and $\mathbf{X}_{f}, \mathbf{Y}_{f}, \mathbf{Z}_{f}$ correspond to the nodal coordinate vectors of free and fixed nodes in the $x, y$ and $z$ directions, respectively.

Vectors holding the coordinate difference between 2 connected nodes are defined as $\mathbf{u}$, $\mathbf{v}$, and $\mathbf{w}$. They are derived with branch-node matrices and nodal coordinates:

$$
\begin{equation*}
\mathbf{u}=\mathbf{C X}+\mathbf{C}_{f} \mathbf{X}_{f}, \quad \mathbf{v}=\mathbf{C Y}+\mathbf{C}_{f} \mathbf{Y}_{f}, \quad \mathbf{w}=\mathbf{C Z}+\mathbf{C}_{f} \mathbf{Z}_{f} \tag{2.2.2}
\end{equation*}
$$

Let's define the member length vector as $\boldsymbol{\ell}$ and the force density vector as $\mathbf{q}$. The force
density of the $j$-th grid members $q_{j}$ is defined as its force-length ratios [24]. Then define $\mathbf{U}, \mathbf{V}, \mathbf{W}, \mathbf{L}, \mathbf{Q}$ as the diagonal matrices of the vector $\mathbf{u}, \mathbf{v}, \mathbf{w}, \boldsymbol{\ell}$ and $\mathbf{q}$, respectively. Moreover, define $\mathbf{s}$ as the member internal force vector. To maintain force equilibrium at every node, the sum of internal forces should equal the external forces. The equilibrium equations are formulated as follows:

$$
\begin{equation*}
\mathbf{C}^{\mathrm{T}} \mathbf{U L}^{-1} \mathbf{s}=\mathbf{p}_{x}, \quad \mathbf{C}^{\mathrm{T}} \mathbf{V L}^{-1} \mathbf{s}=\mathbf{p}_{y}, \quad \mathbf{C}^{\mathrm{T}} \mathbf{W} \mathbf{L}^{-1} \mathbf{s}=\mathbf{p}_{z} \tag{2.2.3}
\end{equation*}
$$

In the equilibrium equations, representations of Jacobian matrices are utilized:

$$
\begin{equation*}
\frac{\partial \boldsymbol{\ell}}{\partial \mathbf{X}}=\mathbf{C}^{\mathrm{T}} \mathbf{U L}^{-1}, \quad \frac{\partial \boldsymbol{\ell}}{\partial \mathbf{Y}}=\mathbf{C}^{\mathrm{T}} \mathbf{V L}^{-1}, \quad \frac{\partial \boldsymbol{\ell}}{\partial \mathbf{Z}}=\mathbf{C}^{\mathrm{T}} \mathbf{W} \mathbf{L}^{-1} \tag{2.2.4}
\end{equation*}
$$

The force density vector $\mathbf{q}$ is obtained by:

$$
\begin{equation*}
\mathbf{q}=\mathbf{L}^{-1} \mathbf{s} \tag{2.2.5}
\end{equation*}
$$

where $\mathbf{L}$ is the diagonal matrix of the member length vector $\boldsymbol{\ell}$, and $\mathbf{s}$ is the member force vector. With (2.2.5), we can then update (2.2.3) into:

$$
\begin{equation*}
\mathbf{C}^{\mathrm{T}} \mathbf{U q}=\mathbf{p}_{x}, \quad \mathbf{C}^{\mathrm{T}} \mathbf{V q}=\mathbf{p}_{y}, \quad \mathbf{C}^{\mathrm{T}} \mathbf{W q}=\mathbf{p}_{z} \tag{2.2.6}
\end{equation*}
$$

By means of (2.2.2) and the following identities, we obtain:

$$
\begin{equation*}
\mathbf{U q}=\mathbf{Q} \mathbf{u}, \quad \mathbf{V q}=\mathbf{Q} \mathbf{v}, \quad \mathbf{W q}=\mathbf{Q} \mathbf{w} \tag{2.2.7}
\end{equation*}
$$

The nodal force equilibrium equation systems in $x, y$ and $z$ directions are formulated as follows:

$$
\begin{align*}
& \mathbf{C}^{\mathrm{T}} \mathbf{Q C x}+\mathbf{C}^{\mathrm{T}} \mathbf{Q} \mathbf{C}_{f} \mathbf{x}_{f}=\mathbf{p}_{x} \\
& \mathbf{C}^{\mathrm{T}} \mathbf{Q C y}+\mathbf{C}^{\mathrm{T}} \mathbf{Q} \mathbf{C}_{f} \mathbf{y}_{f}=\mathbf{p}_{y}  \tag{2.2.8}\\
& \mathbf{C}^{\mathrm{T}} \mathbf{Q C z}+\mathbf{C}^{\mathrm{T}} \mathbf{Q} \mathbf{C}_{f} \mathbf{z}_{f}=\mathbf{p}_{z}
\end{align*}
$$

For a simpler representation, we can write $\mathbf{D}=\mathbf{C}^{\mathrm{T}} \mathbf{Q C}$ and $\mathbf{D}_{f}=\mathbf{C}^{\mathrm{T}} \mathbf{Q} \mathbf{C}_{f}$, so that (2.2.8) becomes:

$$
\begin{equation*}
\mathbf{D X}+\mathbf{D}_{f} \mathbf{X}_{f}=\mathbf{p}_{x}, \mathbf{D Y}+\mathbf{D}_{f} \mathbf{Y}_{f}=\mathbf{p}_{y}, \mathbf{D Z}+\mathbf{D}_{f} \mathbf{Z}_{f}=\mathbf{p}_{z} \tag{2.2.9}
\end{equation*}
$$

Thus, member forces can be derived by:

$$
\begin{equation*}
\mathbf{s}=\mathbf{L q} \tag{2.2.10}
\end{equation*}
$$

Given the external loads, the branch-node matrix, force densities and fixed degrees of freedom, we can determine a set of free nodal coordinates by solving the system in
(2.2.9). Similar to PEM, an iterative solver is introduced due to the nonlinearity in load calculation. Note that the displacement vector $\mathbf{u}_{1}$ is not present in the computation of the FDM, which indicates that the resultant form is not affected by the initial positions of the free nodes.

### 2.3 Initial Domain Definition

The geometry of the initial domain is defined by nodes (nodal coordinates) and grid members (node connectivity). Here, $N_{n}, N_{b}$ and $N_{e}$ denotes number of nodes, grid members and shell panels, respectively. Nodal coordinates are stored in an ( $N_{n} \mathrm{x} 3$ ) matrix where each row contains the coordinate of one node in $x, y$ and $z$ axis. The nodes are automatically numbered with its row number in this matrix. Node connectivity is an ( $N_{b} \times 2$ ) matrix, where each row contains the number of two end nodes. Information of shell panels is stored in a cell array of $N_{e}$ rows, where each row contains the numbers of surrounding nodes. The designer also needs to prescribe the fixed degrees of freedom and the values of distributed loads. In FDM implementation, the branchnode matrix is extracted from the node connectivity. The composition of the initial domain is illustrated in Fig. 2.3

The current implementation provides three methods to define the initial domain geometry. These methods are based on finite element shape function interpolation, spline interpolation and the modified PolyMesher code [25]. Apart from the three methods, the designer can also select a different scheme for the initial domain geometry definition.


Fig. 2.3 Decomposition of a simple initial domain. (a) Hinge nodes (blue dot) and fixed nodes (blue triangle); (b) Grid members (red lines); (c) Panels where loads are applied on (space with green labels). The dash lines denote grid members that are not active in the design, as they are fixed at both ends and thus cannot deform; (d) Initial domain without labels.

### 2.3.1 Finite Element Shape Function Interpolation

The first method uses finite element (FE) shape functions to interpolate for the location of all other structural nodes from certain predefined nodal coordinates. First, the designer should choose the type of FE to be used. The current implementation allows the use of Q8, Q9 and Q12 element. Then the designer prescribes the nodal coordinates in the physical configuration that are associated with the FE nodes in the intrinsic configuration. With such information, the designer can choose any other point in the intrinsic coordinate and obtain its corresponding location in the physical coordinate by FE shape function interpolation. More details are illustrated in Fig. 2.4. This method is
suitable for structured domains, in which the number of grid members in the two orthogonal directions is known. Also it is favorable that the domain shape can be reasonably mapped to the shape of the implemented finite element.


Fig. 2.4: Unknown nodal coordinates are interpolated from known nodal coordinates by FE shape functions. (a). Domain in the physical coordinate. Blue dots denote nodes with known coordinates, while coordinates of other nodes are to be derived; (b). Domain in the intrinsic coordinate. Known nodes in (a) correspond to Q12 FE nodes with the same corresponding number; (c) and (d). Q12 FE shape functions for nodes 1 and 5.

### 2.3.2 Spline Function Interpolation

The second method uses piece-wise spline functions to interpolate for the location of all structural nodes from certain predefined nodal coordinates as shown by Fig. 2.5. Spline functions are widely recognized to interpolate unknown data from a set of known data in a smooth pattern. In this method, the designer prescribes the coordinates of certain nodes in the 3D physical coordinate, together with their coordinates in the 2D intrinsic configuration. The 2D spline functions then allow the designer to obtain the corresponding physical coordinate for any nodes in the 2D intrinsic domain. The implementation uses MATLAB-built-in functions to construct the spline functions and
perform the interpolation. Further details of the spline interpolation technique are illustrated in [12].


Fig. 2.5 Cubic Spline of Monotonic Data in 2D Space. From the limited number of know points (black dots), the function value of any point can be interpolated by the spline function, as the line shows. Cited from [12].

### 2.3.3 Modified PolyMesher

The third method adopts a modified version of a polygonal mesher named PolyMesher [25]. Given a specified 2D domain with boundary conditions, the code will generate a centroidal voronoi tessalation (CVT) mesh and apply boundary conditions on corresponding nodes [25]. The nodes and edges of polygons will be defined as the hinge nodes and grid members in the initial domain. The definition procedures for a simple rectangular domain is illustrated in Fig. 2.6. This method is suitable for arbitrary grid patterns.
(a)

(b)

(c)


Fig. 2.6 Initial domain definition by modified PolyMesher. (a) A rectangular domain with fixed upper and lower edge; (b) The CVT mesh generated by the modified PolyMesher; (c) The element nodes and edges are extracted as the nodes and grid members in the initial domain.

### 2.4 Nodal Load Calculation

For grid shells, major load types include, for example, structural self-weight and wind load. Loads are mostly applied on the shell surface. In the current implementation, it is assumed that all loads are primarily distributed onto shell panels and are transmitted to the structural nodes using the tributary area rationale. We assume that all the loads are static and in the vertical direction for the current design scope.

Thus, nodal load on any node is derived by:

$$
p_{z}=\sum_{i} q_{d i s t, i} * A_{t, i}
$$

Here $i$ is the load case number, $q_{d i s t, i}$ is the distributed load and $A_{t, i}$ is the tributary area corresponding to the particular load type.

Before calculating tributary areas, we first need to define the panel geometry. For a panel with three sides, the shape can be reasonably defined as a planar triangle. However, for a panel with four or more sides, the nodes are likely not to be in the same plane, which suggests infinite number of possible panel geometries. Thus an algorithm is necessary to define a reasonable geometry. At the same time, this geometry should lead to easy calculation of tributary areas.

For the reasons above, we assume that each shell panel is composed of small triangles enclosed by surrounding nodes, the centroid of these nodes and the midpoints of surrounding grid members. Tributary area of a node is then computed from the areas of these triangles. Fig. 2.7 illustrates the triangulation of quadrilateral panels and the tributary area calculation in details.


Fig. 2.7 Tributary areas corresponding to one node (shaded area).

First of all, the centroid of nodes associated to one panel is calculated by averaging their coordinates in each axis:

$$
\left[\begin{array}{l}
x_{c}  \tag{2.4.2}\\
y_{c} \\
z_{c}
\end{array}\right]=\frac{1}{n} \sum_{i=1}^{n}\left[\begin{array}{l}
x_{i} \\
y_{i} \\
z_{i}
\end{array}\right]
$$

where $n$ is the number of nodes enclosing the panel. Then the mid-point of each grid member is obtained by averaging the coordinates of two end nodes. The next step is to connect the centroid with all the nodes and mid-points. In this way, small triangles enclosed by the grid members and the connected lines are formed. As the nodal coordinates for all triangles are known, the actual areas of triangles can be derived, as well as their areas projected on x-y plane. For each node, we sum the actual or projected areas of triangles connected with this node to get the desired tributary area.

### 2.5 Snap-through Action Triggering

This implementation is particularly for PEM. During the convergence process of PEM, kinks towards the positive z direction can occur, especially for an initial domain with upward regions. Members in these regions can be under compression, rather than tension, as anticipated. In some cases, this behavior implies that the system potential energy falls into a local minimum, while a possible better minimum still exists. To cope with the situation, triggering of snap-through action is implemented.


Fig. 2.8: Two possible equilibrium form for a two-bar system loaded at top and the loaddisplacement plot. (a) Case A, bars in compression. Solid lines and dash lines represent unloaded and loaded configuration, respectively; (b) Case B, bars in Tension; (c) Load-displacement plot showing critical loads.

A simplified 2D illustration of the problem is shown in Fig. 2.8 (a) and (b), as a 2-bar system subject to a downward load at the middle hinge node. Relation between the z direction displacement and load magnitude at the free node is qualitatively
characterized as in Fig 2.8 (c). When the load is beyond the range bounded by two critical load values, there is only one displacement value corresponding to the load. However, when the load is within this range, there are three displacement values corresponding to one load. Theoretically, it is possible to get the result either in Case A or Case B, as the other mid-point is considered unstable [14]. From the designer's point of view, Case B is favored over Case A, since Case B is likely to be have a lower system potential energy and thus unnecessary compressive members may be avoided in the flipped configuration.

The energy-displacement plot for the two-bar scenario is shown in Fig. 2.9. Each of Case A and B represents a local minimum of potential energy, while Case B reaches a better one. Since the current implementation uses gradient-based method to solve the minimization problem, the initial guess is a critical factor on which minimum will be obtained. If the loaded point initially is much lower than the ground level, a better minimum, where compressive members are likely to be avoided, will be obtained. But if the initial guess is above the zero-load zero-displacement position, the result will fall into the local minimum of Case A , which may allow unnecessary compressive members.


Fig. 2.9: Energy-displacement plot of the 2-bar system under a particular load. With an initial guess of large displacement, we reach the desired minimum of Case B, where bars are in tension instead of compression.

The current implementation improves the initial guess by triggering the snap-through action. Once compressive members are detected from the last iteration, stiffness of these particular members will be temporarily reduced (for instance, to $1 \%$ of its original value), and the minimization in the current iteration will be carried out. In this way, nodes are more likely to snap through with a relatively large displacement. Then this form given by reduced stiffness will be used as an initial guess for the actual form finding iteration, where the original values of stiffness are adopted.

### 2.6 Member Sizing

A preliminary structural member sizing can be performed after the form finding. The purpose of this procedure is to provide the designer with an idea on member size and load path, before a detailed structural design is carried out. Based on the form finding results, the designer finds the cross sectional area of every grid member such that the axial stresses in all members reaches the admissible stress. The term "fully-stressed" is conventionally used to describe this condition.

We adopt the PEM, with a similar formulation in form finding:

$$
\begin{equation*}
\min _{\mathbf{u}} P E=\sum_{i=1}^{N_{b}} \frac{1}{2} k_{i}\left(\Delta \ell_{i}\right)^{2}-\mathbf{p}^{\mathrm{T}} \mathbf{u}=\frac{1}{2}(\boldsymbol{\Delta} \boldsymbol{\ell})^{\mathrm{T}} \mathbf{K}(\boldsymbol{\Delta} \boldsymbol{\ell})-\mathbf{p}^{\mathrm{T}} \mathbf{u} \tag{2.6.1}
\end{equation*}
$$

We expect the form to reach an equilibrium state through a small-strain, smalldisplacement deformation. The form from the last stage is treated as the initial domain. The nodal load vector $\mathbf{p}$ is obtained from the form finding stage as a constant. The stiffness of the $i$-th member $k_{i}$ is calculated by:

$$
\begin{equation*}
k_{i}=\frac{E_{i} A_{i}}{\ell_{i}} \tag{2.6.2}
\end{equation*}
$$

where $E_{i}$ is the prescribed Young's modulus of actual construction material (for instance, G60 steel), $\ell_{i}$ is the member length and $A_{i}$, the member cross sectional area, is the design variable. Here an iterative solver is introduced to cope with the nonlinearity from changing member stiffness. Within each iteration, minimization of
potential energy is performed with constant $\mathbf{K}$. Then for every design member, $A_{i}$ is updated by the stress ratio method and $\mathbf{K}$ is recalculated for the next iteration. The stress ratio method is stated as [18]:

$$
\begin{equation*}
A_{i}^{(k)}=A_{i}^{(k-1)} *\left(\left|\frac{\sigma_{i}^{(k-1)}}{\sigma_{a d m, i}}\right|\right)^{\mu} \tag{2.6.3}
\end{equation*}
$$

The super-script denotes the iteration number. The stress ratio $\left|\frac{\sigma_{i}^{(k-1)}}{\sigma_{\text {adm }, i}}\right|$ is defined as the absolute value of ratio of the member stress in the last iteration $\sigma_{i}^{(k-1)}$ over the member admissible stress $\sigma_{a d m, i}$. The member stress is obtained by constitutive relation:

$$
\begin{equation*}
\sigma_{i}^{(k)}=\frac{\Delta \ell_{i}^{(k)}}{\ell_{i}} E_{i} \tag{2.6.4}
\end{equation*}
$$

A numerical damping parameter $\mu$ is introduced to improve numerical stability. The effectiveness of the approach has been demonstrated in practice by many former researchers. When taking a value between $1 / 3$ and $1 / 2$, the numerical damper tends to be effective [17]. In the current implementation, 0.3 is adopted as the default value of the numerical damper for better convergence. The designer can define different admissible stress under tension and compression for one material. Stress is checked whether it is positive (tensile) or negative (compressive), and the appropriate admissible stress will be used to calculate the stress ratio.

When the result converges, the stress ratios of all members will converge to 1 , indicating that the form is close to a fully-stressed state. Thus, the stopping criteria for the iteration is thus set as

$$
\begin{equation*}
\max \left(\left|\left|\frac{\sigma_{i}^{(k-1)}}{\sigma_{\text {adm }, i}}\right|-1\right|\right)<\varepsilon \tag{2.6.5}
\end{equation*}
$$

where $\varepsilon$ is a prescribed tolerance.

### 2.7 Grouped Member Sizing

For engineering purposes such as constructability, the designer may wish that the structure be constructed by several groups of members with identical cross sectional areas. To achieve this goal, a group design is introduced in member sizing. Instead of having all $A_{i}$ as independent variables, the designer can group members and enforce that members in the same group have one identical cross sectional area. With this implementation, the stress ratio method is modified as follows:

$$
\begin{equation*}
A_{i}^{(k)}=A_{i}^{(k-1)} *\left(\max \left(\left|\frac{\sigma_{i}^{(k-1)}}{\sigma_{i, a d m}}\right|\right)\right)^{\mu} \tag{2.7.1}
\end{equation*}
$$

Members in one group will be assigned with identical initial guess of $A_{i}$. Accordingly, the stress ratio is defined as the maximum stress ratio in a group. Thus, $A_{i}$ of one group will be updated in the same pace along the member sizing process. When the result converges, it is anticipated that at least one member in each member group will be fully stressed, while the others will have a stress within the limit of admissible stress. Thus the stopping criteria for the iteration changes to

$$
\begin{equation*}
\max \left(\left|\max \left(\left|\frac{\sigma_{i}^{(k-1)}}{\sigma_{i, a d m}}\right|\right)-1\right|\right)<\varepsilon \tag{2.7.2}
\end{equation*}
$$

which indicates that the stress ratios of all groups have converged to 1 .

### 2.8 Summary for Design Methodology

The whole design process can be separated into two parts: form finding and member sizing. Given appropriate inputs, the form finding give a funicular form in equilibrium and the corresponding nodal loads. The member sizing will intake the data from form finding and conduct a fully-stress design for the cross sectional area of each structural member.

To summarize the major procedures of the form finding and the member sizing, two flow charts in Fig. 2.10 and Fig. 2.11 are provided. The governing equations are listed on the left of the corresponding step if applicable.


Fig 2.10: Flowchart for the proposed form finding procedures.


Fig 2.11 Flowchart for proposed member sizing procedures.

## CHAPTER 3

## Numerical Examples

The effectiveness of the proposed design scheme is tested through three numerical examples. The first one is a grid shell on a structured rectangular domain inspired by an actual project, originated by Skidmore, Owings \& Merrill LLP (SOM). It is followed by the design of a structured dome over a circular domain. Last, we design an iglooshape grid shell with an opening. Inputs and results of each example are illustrated. Different initial domain definition methods are adopted in these examples. As we have implemented two methods for the form finding, comparisons of design process and results between the two methods are presented and discussed. Note that all designs are conducted in an up-side-down configuration. Basic units for length and force are meter and kN in all examples.

In this chapter, each example is presented in one section. In each section, the problem will be defined first and then designed by form finding with the PEM and the FDM in separate sub-sections. Form finding and member sizing are illustrated in sequence in each sub-section. For the SOM-inspired shell, we will demonstrate the member sizing with grouping in additional sub-sections. The final section summarizes the computational costs of the design examples.

The MATLAB code package consists of three main scripts and two folders containing other associated functions. To perform form finding using PEM and using FDM, the user should run the script "FF_PEM_MAIN.m" and "FF_FDM_MAIN.m", respectively. The script "MS_MAIN.m" is for member sizing. The two folders, "FF" and "MS", contain the MATLAB functions for the form finding and the member sizing. The implementation should run with MATLAB 2012a or a more updated version. Note that the numerical examples below are run with an Intel Core i5 processor.

### 3.1 SOM-inspired Grid Shell

The first design example is inspired by a grid shell project in Ningbo, China, which has drawn the interest of SOM. The design is conducted on a structured rectangular domain. The boundary of the grid shell projects on a deformed rectangle in the $x-y$ plane, as shown in Fig. 3.1. The four boundary nodes are located at the four vertices of a $63 \mathrm{~m} x$ 36 m rectangle. The grid members form segmented grid lines that span throughout the x or y axis. The shell surface has seven bays in both x and y directions, separated by the grid lines. The edge nodes along x axis are fixed at the ground level. The two edge arches along the y axis should have a maximum height of 5 meters, which leaves space for entrance and exit while maintains an aesthetic appearance. In addition, the apex of the shell should be close to, but no higher than, 12 meters above the ground level.


Fig. 3.1 The region constraining the grid shell.
The structure is subject to a 2.5 kPa dead load (including cladding load) and 2.5 kPa wind load. Both loads are applied in the downward or negative z direction. The dead load should be calculated based on actual tributary area, while the wind load is applied on the tributary area projected on the $x-y$ plane. This load setting will be inherited by the following three examples.

### 3.1.1 Form Finding Using PEM

Here we use the Q8 finite element shape functions for initial domain geometry definition. The physical coordinates of the eight FE nodes are prescribed in vector X , Y and Z . We define Nx and Ny as the number of grid lines along the x and y direction, respectively. The problem requires 7 bays in each direction and thus Nx and Ny are both 8 . With the information above, we can obtain the initial nodes, shell panels and boundary conditions through the function named as "RectangularDomain". The corresponding MATLAB code is listed as follows:

```
method = 'Q8';
X=9*[-3.5 3.5 3.5 -3.5 0 3 0 -3];
Y=9*[[-2 -2 2 2 -3 0 3 0];
Z=zeros(1,8);
Nx = 8; Ny = 8;
[NODE_ori,BARS,L0,ELEM,FREE_NODE,FIX_NODE,] =
RectangularDomain(X,Y,Z,Nx,Ny,method);
```

The resultant initial domain consists of 64 nodes (of which 16 are fixed and 48 are free), 98 grid members and 49 shell panels as shown by Fig. 3.2. All nodes are initially located in the $\mathrm{x}-\mathrm{y}$ plane.


Fig. 3.2 The initial domain geometry projected on the $x$ - $y$ plane. The numbering of nodes (black, over the nodes), grid members (blue, over the midpoints of grid members) and shell panels (magenta, over the panels) are also included. The blue triangles denote nodes with fixed coordinates in $\mathrm{x}, \mathrm{y}$ and z direction.

Next, we define the stiffness of all grid members by means of the function "GetStiffness_Rectangular", with the prescribed EA value and length of each member. Define the term "EAunit" as a unit value of EA, which is the product of Young's modulus and cross sectional area of the grid member. We divide the members into three groups, and assign the EA value of each group to be 8,4 and 2 times of the EAunit, respectively. The three groups are chosen as the vertical edge members, vertical interior members and horizontal members, observed from the $x-y$ plane. The stiffness of all grid members are calculated by Eq. (2.1.4), where the member length is derived from the initial domain geometry. This process is illustrated by Fig. 3.3. The specific unit EA value of 2700 kN is chosen after a number of trials and error, in order to satisfy the geometric constraints. The corresponding MATLAB code is provided below.

```
EAunit = 2700;
K=GetStiffness_Rectangular(NODE_ori,BARS,Nx,Ny,EAunit);
```

(a)

(b)


Fig. 3.3 Distribution of EA values and member stiffness among grid members. (a) Three EA values are assigned to three groups of members; (b) The stiffness of each grid member.

We denote the uniformly distributed load applied on actual tributary area as q1, and the uniformly distributed load applied on projected tributary area on $x-y$ plane as $q 2$. Thus the input for loads is

```
q1 = 2.5; q2 = 2.5;
```

In Chapter 2, we have defined the convergence criterion as $\frac{\left\|\mathbf{x}^{(k)}-\mathbf{x}^{(k-1)}\right\|}{N_{d o f}}<\varepsilon$, where $\frac{\left\|\mathbf{x}^{(k)}-\mathbf{x}^{(k-1)}\right\|}{N_{d o f}}$ is a measure of average movement of nodes from the previous iteration to the current one. The tolerance $\varepsilon$ here is set to be $10^{-3}$, and a limit of 200 on the iteration number applies. This setting holds for other form finding cases unless specified.

Now we have the information on the initial domain geometry, the boundary conditions, the grid member stiffness, the loads and the convergence criteria to perform the form finding computation. It takes 3 iterations for the form finding to converge. This process takes 15 seconds of CPU time. The resultant form observed from different views is shown in Fig. 3.4 and the convergence plot in Fig. 3.5.


Fig. 3.4 The final form obtained from form finding using PEM. (a) 3D View; (b) Top view (in the $\mathrm{x}-\mathrm{y}$ plane); (c) Side view (in the y-z plane); (b) Front view (in the $\mathrm{x}-\mathrm{z}$ plane).


Fig. 3.5 Convergence plot of form finding using PEM. Convergence is achieved at the $3^{\text {rd }}$ iteration.

The final step consists of checking if design constraints are satisfied. The apex of the grid shell has an elevation of 11.8538 m at node $28,29,36$ and 37 , which is less than the constrained height of 12 m . The maximum height of the side arch is 5.1689 m at node $4,5,60$ and 61 , which is larger than the required height of 5 m . Thus both geometric constraints are satisfied.

Finally, major design input and output are saved in a prescribed file name.

```
Output_Name_FF='SOMShell_FF_PEM.mat';
save(Output_Name,'NODE','F','BARS','ELEM','FIX','NODE_ori','Nn');
```


### 3.1.2 Member Sizing without Grouping Using PEM

With the design output from the form finding, we proceed to the structural member sizing. Besides the data from the form finding, the Young's modulus and the admissible stress of the actual construction material are required. For the current example, we choose standard G60 steel for the grid members, which has a Young's modulus of $200,000,000 \mathrm{kPa}$ and an admissible stress of 415000 kPa under both tension and compression. As no grouping is involved in the current member sizing procedure, the group flag is set false and the code will treat the cross sectional area of each grid member as an independent variable. The input is listed below:

```
load SOMShell_FF_PEM
S_adm_T = 415000;
S_adm_C = 415000;
E = 200000000;
group_flag = false;
```

With the input above, we perform the member sizing. The initial guess of the cross sectional areas is $0.005 \mathrm{~m}^{2}$ for every grid member. The tolerance for the current member sizing is set to be $5 \times 10^{-3}$. These computational settings apply to other member sizing examples unless otherwise specified. The result converges at the $23^{\text {rd }}$ iteration with a computational cost of 86 seconds of CPU time. We define the the ratio of member cross sectional area over the maximum member cross sectional area as the area ratio. The member cross sectional area information will be shown in the area ratio plots here and in the following examples. The member area ratio plots, stress ratio plot and convergence plot are shown in Fig. 3.6, Fig. 3.7 and Fig. 3.8 respectively.

The maximum cross sectional area of $3684 \mathrm{~mm}^{2}$ occurs on four grid members as circled in Fig. 3.6. This value is comparable with the gross area of the standard HSS5.563x0.258 section (AISC, Steel Construction Manual), which is $3690 \mathrm{~mm}^{2}$ or $5.72 \mathrm{in}^{2}$. The total volume of steel used is $1.3438 \mathrm{~m}^{3}$. From Fig. 3.7, we observe that stress ratios for all grid members have indeed converged to 1 and what we obtain here is a fully-stressed design.


Fig. 3.6 Area ratio plots with the color scale. The maximum cross sectional area is $3684 \mathrm{~mm}^{2}$. The color and thickness of each grid member are associated with the area ratio. (a) The 3D view; (b) The plan view.


Fig. 3.7 Stress ratio plot with the color scale. The stress ratios have converged to 1 as expected.


Fig. 3.8 Convergence plot of member sizing without grouping. Convergence is achieved at the $23^{\text {rd }}$ iteration.

### 3.1.3 Member Sizing with Grouping Using PEM

In this member sizing trial, we divide the grid members into three groups such that the member cross sectional areas in each group remain identical. Compared with the member sizing trial above, the same form finding information and material properties are provided with the additional grouping information. The groups are defined as the edge vertical members, inner vertical m embers and horizontal members as shown in Fig. 3.9. Also, we set the "group_flag" as true such that the implementation of stress ratio method for group design will be used.


Fig. 3.9 Grid member grouping information.
The member sizing with grouping converges at the $19^{\text {th }}$ iteration with a computational cost of about 76 seconds of CPU time. The maximum cross sectional area is $3687 \mathrm{~mm}^{2}$, which is applied on all the inner vertical members. The total volume of steel is increased to $1.6782 \mathrm{~m}^{3}$, as most of the grid members have a cross sectional area larger than the value associated to fully-stressed design (previous section). As the stress ratio reveals, not all grid members are fully stressed. Instead, in each of the three grid member groups, at least one member has a stress ratio of 1 and the others have stress ratios between 0 and 1. The area ratio plots are given in Fig. 3.10 and the stress ratio plot in Fig. 3.11. The convergence plot is shown below in Fig. 3.12.
(a) $A / A_{\text {max }}$ Plot (3D View, $A_{\max }=3687 \mathrm{~mm}^{2}$ )

(b)


Fig. 3.10 Area ratio plots with the color scale. The maximum cross sectional area is $3687 \mathrm{~mm}^{2}$. The color and thickness of each grid member are associated with the area ratio. (a) The 3D view; (b) The plan view.


Fig. 3.11 Stress ratio plot with color scale. Fully-stressed members in the three groups are labelled with 1,2 and 3 .


Fig. 3.12 Convergence plot of member sizing with grouping. Convergence is achieved at the $19^{\text {th }}$ iteration.

### 3.1.4 Form Finding Using FDM

Form finding using FDM is conducted by the code named "FF_FDM_Main.m". Here, the inputs of initial domain geometry, boundary conditions and loads are the same as those in the form finding using PEM. The difference is that we prescribe force density instead of member stiffness for every grid member. The following lines of code prescribe force densities in a grouped manner as shown in Fig. 3.13.

```
FDunit=75;
FD=GetFD_SOMShell(Nb,Nx,Ny,FDunit);
```



Fig. 3.13 Distribution of force densities.
The code takes 5 iterations to converge at a computational cost of about 1 second of CPU time. The form is shown in Fig. 3.14 and the convergence plot is shown in Fig. 3.15. The apex of the grid shell has an elevation of 11.7420 m at node $28,29,36$ and 37 , which is less than the constrained height of 12 m . The maximum height of the side arch is 5.9544 m at node $4,5,60$ and 61 , which is larger than the required height of 5 m . Thus both geometric constraints are satisfied. The node numbers can be referred from Fig.
3.2.
(a)

(c)

(b)

$$
\ln x-y \text { Plane }
$$


(d)


Fig. 3.14 The final form obtained from form finding using FDM. (a) 3D View; (b) Top view (in the x-y plane); (c) Side view (in the y-z plane); (b) Front view (in the x-z plane).


Fig. 3.15 Convergence plot of form finding using FDM.

### 3.1.5 Member Sizing without Grouping Using FDM

The standard G60 steel is chosen as the material for the grid members in member sizing, which has a Young's modulus of $200,000,000 \mathrm{kPa}$ and an admissible stress of 415000 kPa under both tension and compression. Together with the design outputs from the form finding using FDM, the member sizing without grouping is conducted.

The result converges at the $22^{\text {nd }}$ iteration at a computational cost of about 84 seconds of CPU time. The member area ratio plots, stress ratio plot and convergence plot are provided in Fig. 3.16, Fig. 3.17 and Fig. 3.18, respectively. A fully-stressed design is achieved as stress ratios for all grid members have converged to 1, according to Fig. 3.17. The maximum cross sectional area of $3590 \mathrm{~mm}^{2}$ occurs on four grid members as circled in Fig. 3.16. The total volume of steel used is $1.4606 \mathrm{~m}^{3}$.


Fig. 3.16 Area ratio plots with the color scale. The maximum cross sectional area is $3590 \mathrm{~mm}^{2}$. The color and thickness of each grid member are associated with the area ratio. (a) The 3D view; (b) The plan view.

Max. Stress ratio $=1.000$, Min. Stress ratio $=1.000$


Fig. 3.17 Stress ratio plot with color scale. The stress ratios of all grid members have converged to 1 as expected.


Fig. 3.18 Convergence plot for member sizing without grouping. Convergence is achieved at the $22^{\text {nd }}$ iteration.

### 3.1.6 Member Sizing with Grouping Using FDM

In this member sizing, we input G60 steel material properties and provide the grouping information as indicated in Fig 3.9. The member sizing with grouping converges at the $19^{\text {th }}$ iteration with a computational cost of about 80 seconds of CPU time. The maximum cross sectional area is $3632 \mathrm{~mm}^{2}$, which is applied on all the inner vertical members. The total volume of steel is increased to $1.7325 \mathrm{~m}^{3}$. The area ratio plots, stress ratio plot and convergence plot are shown in Fig. 3.19, 3.20 and 3.21, respectively.


Fig. 3.19 Area ratio plots with the color scale. The maximum cross sectional area is $3632 \mathrm{~mm}^{2}$. The color and thickness of each grid member are associated with the area ratio. (a) The 3D view; (b) The plan view.


Fig. 3.20 Stress ratio plot with color scale. Fully-stressed members in the three groups are labelled with 1,2 and 3 .


Fig. 3.21 Convergence plot of member sizing with grouping. Convergence is achieved at the $19^{\text {th }}$ iteration.

### 3.2 Structured Circular Dome

This example intends to design a structured grid shell over a circular domain, which results in a dome shape. The dome is formed by radial ribs and tangential rings composed of grid members. In the current example, we shall design on a circular domain with a 5 m radius, as shown by Fig. 3.22. The number of radial ribs and tangential rings are 8 and 4 , respectively. Nodes on the perimeter are fixed at the ground level. The apex of the dome is restricted to be less than 4 m . The 2.5 kPa dead load and 2.5 kPa wind load are applied. For the member sizing, no grouping will be considered.


Fig. 3.22 The region constraining the grid shell.

### 3.2.1 Form Finding Using PEM

Let's define the radius of domain $\mathrm{r}=5$, the number of radial ribs $\mathrm{Nr}=8$ and the number of tangential rings $\mathrm{Nt}=6$ (including the merging point in the center and the null members connecting the fixed nodes). With the information above, the initial domain is defined as "CircularDomain". This function is specifically for defining a structured domain fixed on a circular perimeter, which does not use the three initial domain definition methods introduced in the Chapter 2. All radial grid members are of equal length. The input code is as follows:

```
r = 5; Nr = 8; Nt = 6;
[NODE_ori,ELEM,SUPP,LOAD] = CircularDomain(r,Nr,Nt);
```

The initial domain consists of 41 nodes (of which 8 are fixed and 33 are free nodes), 72 grid members and 40 shell panels, as shown by Fig. 3.23. All nodes are initially located in the $x-y$ plane.


Fig. 3.23 (a) The initial domain geometry with labels of nodes (black, over the nodes), grid members (blue, over the midpoints of grid members) and shell panels (magenta, over the panels). The blue triangles denote nodes with fixed coordinates in $x, y$ and $z$ direction. (b) Member stiffness distribution.

The EA property value of all members is identically defined as 80 kN and then the member stiffness is derived together with the member length. The input code is as follows:

```
EAedge = 80;
EAinner = 80;
[EDGE,INNER] = GetPolyEdges(ELEM);
[BARS,K] = GetSprings(NODE_ori,EDGE,INNER,EAedge,EAinner);
```

The definition of loads is the same as in the last example.

With the input, we proceed to the computation of the form finding. The code converges after 4 iterations at a computational cost of about 6 seconds of CPU time. The resultant form is shown in Fig. 3.24. The apex of the form occurs at the center with a height 3.8320 m , which is less than the 4 m height limit. The convergence plot is shown in Fig. 3.25 .


Fig. 3.24 The final form obtained from form finding using PEM. (a) 3D View; (b) Top view (in the x-y plane); (c) Side view (in the y-z plane); (b) Front view (in the x-z plane).


Fig. 3.25 Convergence plot of form finding using PEM. Convergence is achieved at the $4^{\text {th }}$ iteration.

### 3.2.2 Member Sizing Using PEM

The standard G60 steel is chosen as the construction material, which has a Young's modulus of $200,000,000 \mathrm{kPa}$ and an admissible stress of 415000 kPa under both tension and compression. With the form finding results and the material properties, we perform the member sizing. The result converges at the 35 th iteration at a computational cost of 50 seconds of CPU time. Fig 3.26 shows the member area ratio plots and Fig 3.27 shows both the stress ratio plot and convergence plot for this example.

The maximum cross sectional area is $128 \mathrm{~mm}^{2}$, which occurs at the grid members attached to the ground. The total volume of steel used is $0.0046249 \mathrm{~m}^{3}$. The stress ratios for all grid members have indeed converged to 1 and the convergence is achieved, as Fig. 3.27 (a) shows.


Fig. 3.26 Area ratio plots with the color scale. The maximum cross sectional area is $128 \mathrm{~mm}^{2}$. The color and thickness of each grid member are associated with the area ratio. (a) The 3D view; (b) The plan view.


Fig. 3.27 (a) Stress ratio plot with the color scale. The stress ratios have converged to 1 as expected; (b) Convergence plot of member sizing. Convergence is achieved at the $35^{\text {th }}$ iteration.

### 3.2.3 Form Finding Using FDM

With the similar initial domain geometry, boundary condition and load definition as in the PEM implementation, we define the force density in the pattern shown in Fig. 3.28. The values are determined such that the final shape is aesthetically appealing and meet the apex constraint.


Fig. 3.28 Distribution of force densities.
The form finding takes 7 iterations to converge at a computational cost of about 1.1 seconds of CPU time. The form and convergence plot are shown in Fig. 3.29 and Fig.
3.30 , respectively. The apex of the grid shell is at a height of 3.7157 m .


Fig. 3.29 The final form obtained from form finding using FDM. (a) 3D View; (b) Top view (in the x-y plane); (c) Side view (in the y-z plane); (b) Front view (in the x-z plane).


Fig. 3.30 Convergence plot of form finding using FDM. Convergence is achieved at the $7^{\text {th }}$ iteration.

### 3.2.4 Member Sizing Using FDM

The standard G60 steel is chosen as the material for the grid members in member sizing, which has a Young's modulus of $200,000,000 \mathrm{kPa}$ and an admissible stress of 415000 kPa under both tension and compression. Together with the design outputs from the form finding using FDM, the member sizing is conducted. The result converges at the $63^{\text {rd }}$ iteration at a computational cost of about 57 seconds of CPU time. The maximum cross sectional area of $155 \mathrm{~mm}^{2}$ occurs on the members attached to ground as the member area ratio plot in Fig. 3.31 shows. The stress ratio and convergence plot for this example are provided in Fig. 3.32. The stress ratios of all grid members have converged to 1 , according to Fig. 3.32 (a). The total volume of steel used is 0.005767 $\mathrm{m}^{3}$.


Fig. 3.31 Area ratio plots with the color scale. The maximum cross sectional area is $155 \mathrm{~mm}^{2}$. The color and thickness of each grid member are associated with the area ratio. (a) The 3D view; (b) The plan view.


Fig. 3.32 (a) Stress ratio plot with color scale. The stress ratios of all grid members have converged to 1 as expected; (b) Convergence plot for member sizing. Convergence is achieved at the $63^{\text {rd }}$ iteration.

### 3.3 Igloo

This example intends to design an igloo-shape grid shell, which can provide the accommodation for one person. The igloo should stand on the perimeter of a 1 m -radius circle in the x-y plane. As illustrated by Fig. 3.33, the boundary of the igloo is fixed on the ground except for an opening corresponding to an arc of a 60-degree angle, as shown in Fig 3.32. The apex of the igloo is limited to be less than 1 m .


Fig. 3.33 The region constraining the grid shell.

### 3.3.1 Form Finding Using PEM

The domain boundary is defined by a 1 m -radius circle cut by a secant of a 60 -degree central angle in the $x-y$ plane as shown in Fig. 3.33. The following code generate the initial domain in the $x-y$ plane with the modified PolyMesher. The initial geometric boundary is predefined in the file "IglooDomain", as well as the boundary conditions. We provide 50 random seeds within the 2D domain and request 1000 iterations for the PolyMesher to generate the CVT mesh. The input code is provided below:

```
[NODE_ori,ELEM,SUPP,LOAD] = PolyMesher(@IglooDomain,50,1000);
NODE_ori = [NODE_ori zeros(size(NODE_ori,1),1)];
```

In this specific design trial, the resultant initial domain consists of 100 nodes (of which 20 are fixed and 80 are free nodes), 130 grid members and 50 shell panels (which is equal to the prescribed seed number). The grid member 1, 2, 4, 6 and 8 are the edge members that forms the arch above the entrance. The initial domain geometry is given by Fig. 3.34.


Fig. 3.34 The initial domain geometry observed in the $x-y$ plane with the numbering of nodes (black, over the nodes), grid members (blue, over the midpoints of grid members) and shell panels (magenta, over the panels) are included. The blue triangles denote fixed nodes.

The EA value is prescribed as 0.5 kN for the edge members that form the arch and 1.8 for the other members. The EA value and stiffness distribution are shown in Fig. 3.35. The same load case is considered as in the previous examples.
(a)
EA Value (kN)

(b) Member Stiffness $(\mathrm{kN} / \mathrm{m})$



Fig. 3.35 (a) Distribution of EA values; (b) Distribution of member stiffness.

With the input above, we proceed to the computation of form finding. The form converges after 3 iterations fat a computational cost of about 16 seconds of CPU time. The apex of the form occurs at the center with a height 0.9966 m , which is less than the

1 m height limit. Fig. 3.36 shows the resultant form in different views. Fig. 3.37 provides the convergence plot
(a)

(c)

(b)

(d)


Fig. 3.36 The final form obtained from form finding using PEM. (a) 3D View; (b) Top view (in the $x-y$ plane); (c) Side view (in the $y-z$ plane); (b) Front view (in the $x-z$ plane).


Fig. 3.37 Convergence plot of form finding using PEM. Convergence is achieved at the $3^{\text {rd }}$ iteration.

### 3.3.2 Member Sizing Using PEM

The standard G60 steel is chosen as the construction material, which has a Young's modulus of $200,000,000 \mathrm{kPa}$ and an admissible stress of 415000 kPa under both tension and compression. The default tolerance for the member sizing iteration of $5 \times 10^{-3}$ is used.

The result converges at the $23^{\text {rd }}$ iteration at a computational cost of 50 second of CPU time. Fig. 3.38 shows the member area ratio plots. The stress ratio plot and convergence plot are provided in Fig. 3.39. The maximum cross sectional area is $3 \mathrm{~mm}^{2}$. The total volume of steel used is $4.2738 \mathrm{e}-05 \mathrm{~m}^{3}$. According to Fig. 3.39 (a), the stress ratios for all grid members have indeed converged to 1 .
(a) $A / A_{\max }$ Plot (3D View, $A_{\max }=3 \mathrm{~mm}^{2}$ )


(b)


Fig. 3.38 Area ratio plots with the color scale. The maximum cross sectional area is $128 \mathrm{~mm}^{2}$. The color and thickness of each grid member are associated with the area ratio. (a) The 3D view; (b) The plan view.


Fig. 3.39 (a) Stress ratio plot with the color scale. The stress ratios have converged to 1 as expected; (b) Convergence plot of member sizing. Convergence is achieved at the $23^{\text {rd }}$ iteration.

### 3.3.3 Form Finding Using FDM

With the similar initial domain geometry, boundary condition and load definition as in the PEM implementation, we define member force densities in the pattern shown in Fig 3.40. Grid members are divided into two groups: the edge members comprising the entrance arch have a force density of $1.5 \mathrm{kN} / \mathrm{m}$, and other members have a force density of $2.9 \mathrm{kN} / \mathrm{m}$.


Fig. 3.40 Distribution of force densities.
The form finding takes 7 iterations to converge at a computational cost of about 1.5 seconds of CPU time. The form and convergence plot are shown below. The apex of the grid shell is at a height of 0.9665 m .


Fig. 3.41 The final form obtained from form finding using FDM. (a) 3D View; (b) Top view (in the x-y plane); (c) Side view (in the y-z plane); (b) Front view (in the x-z plane).


Fig. 3.42 Convergence plot of form finding using FDM. Convergence is achieved at the $7^{\text {th }}$ iteration.

### 3.3.4 Member Sizing Using FDM

The standard G60 steel is chosen as the material for the grid members in member sizing, which has a Young's modulus of $200,000,000 \mathrm{kPa}$ and an admissible stress of 415000 kPa under both tension and compression. The default tolerance for the member sizing iteration of $5 \times 10^{-3}$ is used. Together with the design outputs from the form finding using FDM, the member sizing is conducted. The result converges at the $23^{\text {rd }}$ iteration at a computational cost of about 50 seconds of CPU time. The member area ratio plot is shown by Fig. 3.43. The maximum cross sectional area is $3 \mathrm{~mm}^{2}$. The total volume of steel used is $4.8434 \mathrm{e}-05 \mathrm{~m}^{3}$. The stress ratio plot and convergence plot are provided in Fig. 3.44.
(a) $\mathrm{A} / \mathrm{A}_{\max }$ Plot (3D View, $\mathrm{A}_{\max }=3 \mathrm{~mm}^{2}$ )


(b)


Fig. 3.43 Area ratio plots with the color scale. The maximum cross sectional area is $3 \mathrm{~mm}^{2}$. The color and thickness of each grid member are associated with the area ratio. (a) The 3D view; (b) The plan view.
(a)
Max. Stress ratio $=1.00$, Min. Stress ratio $=1.00$


Fig. 3.44 (a) Stress ratio plot with the color scale. The stress ratios have converged to 1 as expected; (b) Convergence plot of member sizing. Convergence is achieved at the $23^{\text {rd }}$ iteration.

### 3.4 Remarks on Computational Costs

Both the PEM and the FDM provide satisfactory bending-free forms under equilibrium in the four numerical examples. Information on the computational costs of the form finding is tabulated in Table 3.1. From this table, we observe that the computation of the form finding can be done efficiently (the maximum CPU time cost was 16 s ). However, all the examples in the thesis are relatively small.

Another observation is that in general, the PEM takes less iteration to converge than the FDM, while the PEM requires more computational resources than the FDM. The CPU time per iteration for form finding using PEM is more than that using FDM. This happens because within each iteration, the PEM solves a non-linear optimization problem, while the FDM solves a linear system. Given the same number of design variables, solution to a linear system is cheaper than to solution of an optimization problem in general. Thus, the total computational cost of the PEM is more than that of the FDM, even with a smaller iteration number.

Table 3.1 Computational cost comparison for form finding.

| Example | $\begin{array}{c}\text { No. of Design } \\ \text { Variables } \\ \text { (Dofs) }\end{array}$ | $\begin{array}{c}\text { PEM } \\ \text { Iteration } \\ \text { No. }\end{array}$ |  |  |  | $\begin{array}{c}\text { CPU Time } \\ (\mathrm{s})\end{array}$ | $\begin{array}{c}\text { CPU Time } \\ \text { Per Iter. (s) }\end{array}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | \(\left.\left.\begin{array}{c}Iteration <br>

No.\end{array} $$
\begin{array}{c}\text { CPU Time } \\
(\mathrm{s})\end{array}
$$\right] $$
\begin{array}{c}\text { CPU Time } \\
\text { Per Iter. (s) }\end{array}
$$\right)\)

Computational information of the member sizing is tabulated in Table 3.2. Every example has two separate member sizing results based on the form finding using PEM and FDM, respectively. The design variable of the member sizing is the cross sectional areas of every grid member, while the design variables are the degrees of freedom in the optimization problem at each iteration. For all three examples, the member sizing requires more computational costs than the form finding.

Table 3.2 Computational cost comparison for the member sizing. In the first column, content in the bracket indicates the method used to obtain the form.

| Example | No. of Design <br> Variables (A) | No. of Dofs | Iteration <br> No. | CPU Time <br> (s) |
| :---: | :---: | :---: | :---: | :---: |
| Dome (PEM) | 72 | 99 | 35 | 50 |
| Dome (FDM) | 72 | 99 | 63 | 57 |
| SOM Shell <br> (PEM) | 98 | 144 | 23 | 86 |
| SOM Shell <br> (FDM) | 98 | 144 | 22 | 84 |
| Igloo (PEM) | 130 | 240 | 23 | 50 |
| Igloo (FDM) | 130 | 240 | 23 | 46 |

The computational costs of member sizing with and without group design are compared for the SOM-inspired shell example. Given the same number of design variables and the same stopping criteria, the member sizing with group design seems to take less iteration and total computational cost, but the difference is quite small.

Table 3.3 Computational cost comparison for the member sizing with and without group design. Descriptions in the first column tell the method for the form finding and whether the member sizing considers group design.

| Example | Iteration No. | CPU Time <br> (s) |
| :---: | :---: | :---: |
| PEM, No <br> Group Design | 23 | 86 |
| PEM, Group <br> Design | 19 | 76 |
| FDM,No <br> Group Design | 22 | 84 |
| FDM, Group <br> Design | 19 | 80 |

## Chapter 4

## Concluding Remarks

To find a funicular form is a challenging but important task in the design of grid shell structures. This thesis presented a numerical implementation that performs the form finding of grid shell structures. Given the initial domain, boundary conditions and loads, one can use PEM and/or FDM to find the optimal form of a grid shell, in which the nodal force equilibrium is maintained and the grid members suffer zero bending moment and shear. For the convenience of the designer, the current implementation provides three generic methods for the initial domain definition. Instead of using predefined constant nodal loads only, the implementation can calculate the formdependent nodal loads using a tributary area rationale as the form finding evolves. For the PEM implementation, the snap-through instability triggering is embedded for a more optimal design. With the form finding results, the designer can perform a preliminary member sizing for the grid members either with or without group design. After the whole design process, the designer obtains an optimal grid shell form, the nodal loads and a fully-stressed design of the cross sectional areas of the grid members. The three numerical examples show that the implementation provides the desired results with a reasonable computational cost.

The current form finding implementation does not directly consider any constraint in the form finding process. If any specific design constraint is present, such as the shell apex limit in the example of the SOM-inspired shell, the designer needs to perform a series of form finding trials to obtain a form in which the design constraint is satisfied. As a future extension, we can deal with the constraints within the implementation, so that numerous design trials can be avoided. With this extension, it is expected that each iterative step of the form finding will change from solving an unconstrained optimization problem (PEM) or an algebraic equation system (FDM) into solving a constrained optimization problem.

A final remark lies on the issue regarding how much the funicular form suggests a structurally efficient grid shell. The form finding makes assumptions that may not be valid in an actual structure. For example, we are assuming that all nodes are hinged and shell panels do not resist loads. But in reality, the nodes are usually not purely hinged and the shell panels will transfer loads together with the grid members. Thus a funicular form on the drawing is likely not to be strictly funicular upon construction. To verify if the funicular form is a proper form for a real structure, we can use the professional structural analysis software, such as ABAQUS, to perform a structural analysis with the form finding results. A further extension along this rationale is to include the structural analysis in the form finding. In this way, we may introduce more the computational costs, but obtain grid shell structures that are closer to the optimal in a practical sense.

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# Appendix A: MATLAB Code Files for Form Finding of Grid Shell Structures 

MATLAB files for form finding of grid shell structures can be found in the supplementary file "FormFinding_MATLAB_YJ_Thesis.rar".

